



JC10 PCT/PTO 08 JAN 2002

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|---|-------------------------------------|---|--|--|--|
| FORM PTO-1390 (Modified) (REV 5-93) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTORNEY'S DOCKET NUMBER | |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | 017386-0115 | |
| INTERNATIONAL APPLICATION NO. PCT/DE01/01568 | | INTERNATIONAL FILING DATE 04/24/2001 | | U.S. APPLICATION NO. 10/030053 Unassigned | |
| TITLE OF INVENTION 3D GRATING OPTICAL SENSOR COMPRISING A DIFFUSION GLASS FOR CONDUCTING CHROMATOMETRY WITH COLOR CONSTANCY PERFORMANCE | | PRIORITY DATE CLAIMED 05/08/2000 and 04/05/2001 | | | |
| APPLICANT(S) FOR DO/EO/US Norbert LAUNGER | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | |
| 1. | <input checked="" type="checkbox"/> | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | |
| 2. | <input type="checkbox"/> | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | |
| 3. | <input type="checkbox"/> | This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). | | | |
| 4. | <input type="checkbox"/> | A proper Demand for International Preliminary Examination was made by the 19 th month from the earliest claimed priority date. | | | |
| 5. | <input checked="" type="checkbox"/> | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | |
| | <input checked="" type="checkbox"/> | is transmitted herewith (required only if not transmitted by the International Bureau). | | | |
| | <input type="checkbox"/> | has been transmitted by the International Bureau. | | | |
| | <input type="checkbox"/> | is not required, as the application was filed in the United States Receiving Office (RO/US) | | | |
| 6. | <input checked="" type="checkbox"/> | A translation of the International Application into English (35 U.S.C. 371(c)(2)). | | | |
| 7. | <input checked="" type="checkbox"/> | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | |
| | <input type="checkbox"/> | are transmitted herewith (required only if not transmitted by the International Bureau). | | | |
| | <input type="checkbox"/> | have been transmitted by the International Bureau. | | | |
| | <input type="checkbox"/> | have not been made; however, the time limit for making such amendments has NOT expired. | | | |
| | <input checked="" type="checkbox"/> | have not been made and will not be made. | | | |
| 8. | <input type="checkbox"/> | A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | |
| 9. | <input checked="" type="checkbox"/> | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | |
| 10. | <input type="checkbox"/> | A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | |
| 11. | <input checked="" type="checkbox"/> | Applicant claims small entity status under 37 CFR 1.27. | | | |
| Items 12. to 17. below concern other document(s) or information included: | | | | | |
| 12. | <input checked="" type="checkbox"/> | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | |
| 13. | <input type="checkbox"/> | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | |
| 14. | <input checked="" type="checkbox"/> | A FIRST preliminary amendment. | | | |
| | <input type="checkbox"/> | A SECOND or SUBSEQUENT preliminary amendment. | | | |
| 15. | <input type="checkbox"/> | A substitute specification. | | | |
| 16. | <input type="checkbox"/> | A change of power of attorney and/or address letter. | | | |
| 17. | <input checked="" type="checkbox"/> | Other items or information: Verified Statement Claiming Small Entity Status | | | |

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| U.S. APPLICATION NO. (If only U.S. application) Unassigned 107030053 | | INTERNATIONAL APPLICATION NO. PCT/DE01/01568 | | ATTORNEY'S DOCKET NUMBER 017386-0115 | |
| 18. <input checked="" type="checkbox"/> The following fees are submitted: | | | | CALCULATIONS | |
| Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO.....\$890.00 | | | | | |
| International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$710.00 | | | | | |
| No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$740.00 | | | | | |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1,040.00 | | | | | |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$100.00 | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | \$890.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 20 Months from the earliest claimed priority date (37 CFR 1.492(e)) | | | | | |
| Claims | Number Filed | Included in Basic Fee | Extra Claims | Rate | |
| Total Claims | 17 | - | 20 | = 0 x | \$18.00 |
| Independent Claims | 2 | - | 3 | = 0 x | \$84.00 |
| Multiple dependent claim(s) (if applicable) | | | | \$280.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$890.00 | |
| Reduction by 1/2 for filing by small entity, if applicable. | | | | \$445.00 | |
| SUBTOTAL = | | | | \$445.00 | |
| Processing fee of \$130.00 for furnishing English translation later the 20 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | + | |
| TOTAL NATIONAL FEE = | | | | \$445.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | |
| TOTAL FEES ENCLOSED = | | | | \$445.00 | |
| | | | | Amount to be: | |
| | | | | refunded \$ | |
| | | | | charged \$ | |
| a. <input checked="" type="checkbox"/> A check in the amount of \$445.00 to cover the above fees is enclosed. | | | | | |
| b. <input type="checkbox"/> Please charge my Deposit Account No. <u>19-0741</u> in the amount of \$0.00 to the above fees. A duplicate copy of this sheet is enclosed. | | | | | |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0741</u> . A duplicate copy of this sheet is enclosed. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | |
| Foley & Lardner Customer Number: 22428 | | | SIGNATURE  | | |
|  | | | NAME GLENN LAW | | |
| 22428 | | | REGISTRATION NUMBER 34,371 | | |
| PATENT TRADEMARK OFFICE | | | | | |

73
UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Norbert LAUNGER

For: 3D GRATING OPTICAL SENSOR COMPRISING A DIFFUSION GLASS FOR
CONDUCTING CHROMATOMETRY WITH COLOR CONSTANCY PERFORMANCE

TRANSLATOR'S DECLARATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I, the below named translator, certify that I am familiar with both the German and the English language, that I have prepared the attached English translation of the attached, and that the English translation is a true, faithful and exact translation of the corresponding German language paper.

I further declare that all statements made in this declaration of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of legal decisions of any nature based on them.

Date: December 18, 2001

Gordon Spence

Name: Gordon SPENCE

For and on behalf of RWS Group plc